

MAR 02 2007

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FACSIMILE COVER SHEET

Deliver to: Li, Zhuo H., USPTO Art Group: 2185
 Facsimile No.: (571) 273-8300 Date: March 2, 2007
 From: Joseph Lutz, Reg. No. 43,765
 Our Docket No.: 42390P13873 Number of pages 8 including this sheet.
 Application No.: 10/039,596 Filing Date: 12/31/2001
 Docket Due Date(s): _____

Enclosed are the following documents:

- | | |
|--|---|
| <input type="checkbox"/> Amendment: _____ (_____ pgs) | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Appeal Brief (_____ pgs) | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Application: _____ | <input type="checkbox"/> Petition for: _____ |
| (_____ pgs) w/cover & abstract) | <input type="checkbox"/> Request for Continued Examination (RCE) |
| <input type="checkbox"/> Assignment & Cover Sheet (_____ pgs) | <input type="checkbox"/> Reply Brief (_____ pgs) |
| <input checked="" type="checkbox"/> Certificate of Facsimile _____ | <input type="checkbox"/> Request & Certification Under 35 USC 122(b)(2)(B)(i) |
| <input type="checkbox"/> Continued Prosecution Application (CPA) | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request |
| <input type="checkbox"/> Declaration & POA (_____ pgs) | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter |
| <input type="checkbox"/> Drawings: _____ sheets, _____ figures | <input type="checkbox"/> Response to Written Opinion (_____ pgs) |
| <input type="checkbox"/> Extension of Time: _____ | <input type="checkbox"/> Terminal Disclaimer |
| <input checked="" type="checkbox"/> Fee Transmittal (in duplicate) | <input type="checkbox"/> Transmittal of Publication Fee Due |
| <input checked="" type="checkbox"/> IDS & PTO/SB/08 (4 pgs) | <input checked="" type="checkbox"/> Transmittal Letter |
| <input type="checkbox"/> Other _____ | |

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Annie McNally

3/2/2007

Date

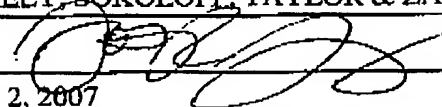
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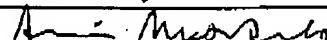
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MAR 02 2007

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	10/039,596
		Filing Date	December 31, 2001
		First Named Inventor	Howard S. David
		Art Unit	2185
		Examiner Name	Li, Zhuo H.
Total Number of Pages in This Submission	7	Attorney Docket Number	42390P13873

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.62 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 60px; width: 100%;"></div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Joseph Lutz, Reg. No. 43,765 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	March 2, 2007

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Based on PTO/SB/21 (09-04) as modified by Blakely, Sokoloff, Taylor & Zafman (ndc) 10/19/2006.
 SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

MAR 02 2007

**FEE TRANSMITTAL
for FY 2006**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

0.00

Complete if Known

Application Number	10/039,596
Filing Date	December 31, 2001
First Named Inventor	Howard S. David
Examiner Name	Li, Zhuo H.
Art Unit	2185
Attorney Docket No.	42390P13873

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☒ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application.☒ Credit any overpayments☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.**FEE CALCULATION****1. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
13	20	0	\$0.00
4	6	0	\$0.00

Multiple Dependent

Large Entity

Small Entity

Fee Code

Fee (\$)

Fee Code

Fee (\$)

Fee Description

1202

50

2202

25

Claims in excess of 20

1201

200

2201

100

Independent claims in excess of 3

1203

380

2203

180

Multiple Dependent claim, if not paid

1204

790

2204

395

**Release independent claims over original patent

1205

300

2205

160

**Release claims in excess of 20 and over original patent

SUBTOTAL (1)

(\$)

0.00

**or number previously paid, if greater, For Releases, see below

2. ADDITIONAL FEES

Large Entity Small Entity

Fee Code Fee (\$)

Fee Code Fee (\$)

Fee Description

1051

130

2051

65

Surcharge - late filing fee or oath

1052

50

2052

25

Surcharge - late provisional filing fee or cover sheet

2053

130

2053

130

Non-English specification

1251

120

2251

80

Extension for reply within first month

1252

450

2252

225

Extension for reply within second month

1253

1,020

2253

510

Extension for reply within third month

1254

1,590

2254

795

Extension for reply within fourth month

1255

2,160

2255

1,080

Extension for reply within fifth month

1401

500

2401

250

Notice of Appeal

1402

500

2402

250

Filing a brief in support of an appeal

1403

1,000

2403

500

Request for oral hearing

1451

1,810

2451

1,510

Petition to institute a public use proceeding

1460

130

2460

130

Petitions to the Commissioner

1807

50

1807

50

Processing fee under 37 CFR 1.17(c)

1806

180

1806

180

Submission of Information Disclosure Sheet

1809

790

1809

395

Filing a submission after final rejection (37 CFR § 1.129(a))

1810

790

2810

395

For each additional invention to be examined (37 CFR § 1.129(b))

Other fee (specify)

SUBTOTAL (2)

(\$)

Fee Paid

SUBMITTED BY

Name (Print/Type) Joseph Lutz

Registration No.
(Attorney/Agent)

43,765

Telephone

Complete (if applicable)
(310) 207-3800

Signature

Date

03/02/07

Based on PTO/SB/17 (02-07) as modified by Blakely, Sokoloff, Taylor & Zafman (vtd) 02/26/2007.
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Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\$)	0.00
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Application Number	10/039,596
Filing Date	December 31, 2001
First Named Inventor	Howard S. David
Examiner Name	Li, Zhuo H.
Art Unit	2185
Attorney Docket No.	42390P13873

☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

PAGE 4/8 * RCVD AT 3/2/2007 2:48:54 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-5/12 * DNIS:2738300 * CSID:3108205988 * DURATION (mm-ss):01-52

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MAR 02 2007

310 820 5988

T-747 P.005/008 F-114

Docket No.: 42390P13873

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

HOWARD S. DAVID

Application No.: 10/039,596

Filed: December 31, 2001

For: Distributed Memory Module Cache
Writeback

Art Group: 2185

Examiner: Li, Zhuo H.

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

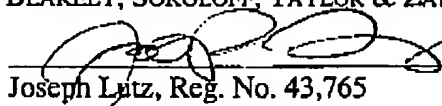
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

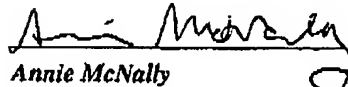
Date: March 02, 2007



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Annie McNally

03/02/2007

Date

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete If Known Application Number 10/039,596 Filing Date December 31, 2001 First Named Inventor Howard S. David Art Unit 2185 Examiner Name Li, Zhuo H. Attorney Docket Number 42390P13873	
Sheet	2	of	2		

[illegible][illegible]

Examiner Signature		Date Considered	
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*Examiner. Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

*Applicant's unique citation designation number (optional). *See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language translation is attached.

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